

# Simulating Ocean Surfaces

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animation



*Alfred Hitchcock*  
1931

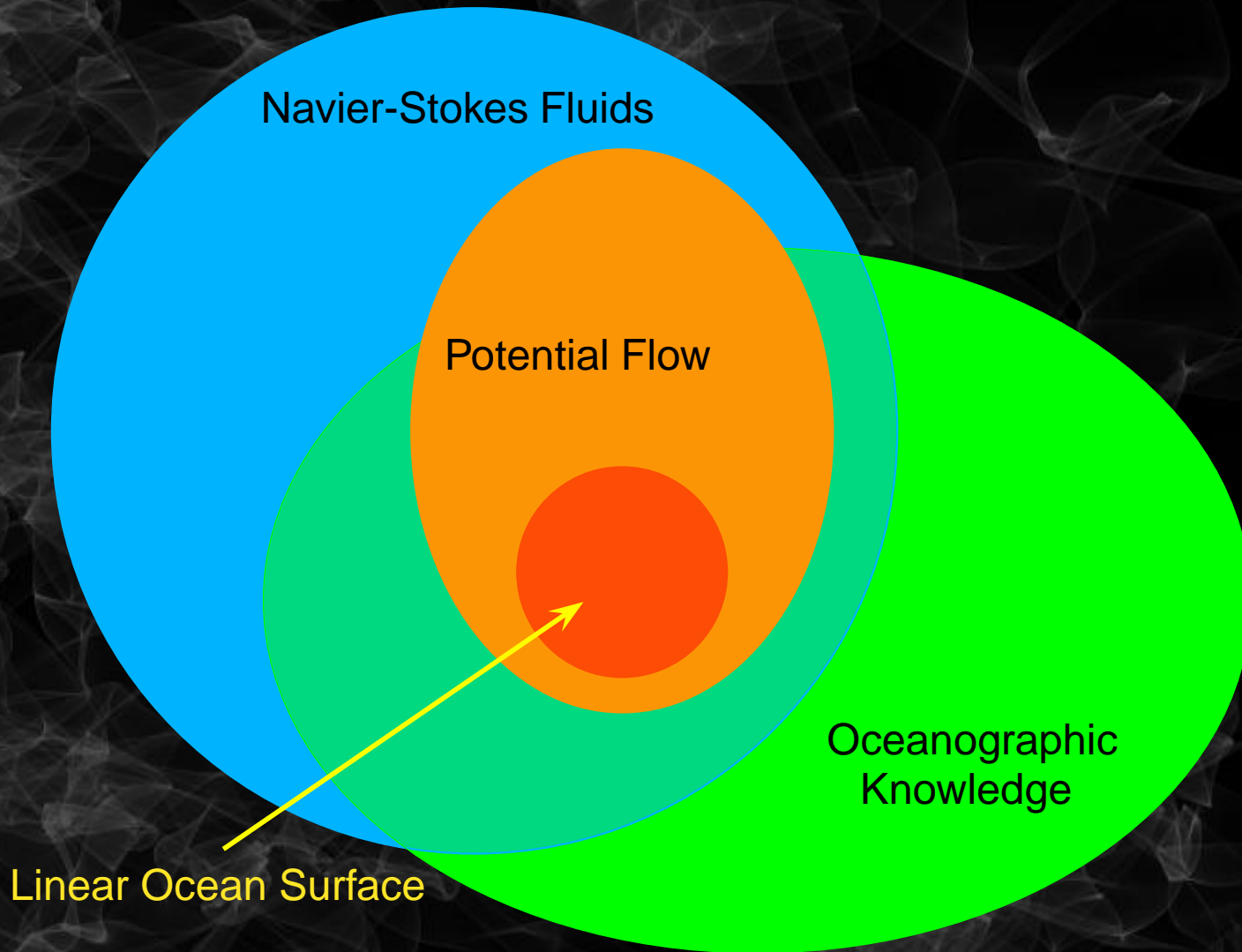


Waterworld  
Truman Show  
Hard Rain  
Contact  
Cast Away  
Orange County  
Myst III

13th Warrior  
Titanic  
Deep Blue Sea  
Virus  
World Is Not Enough  
Pearl Harbor

Fifth Element  
Double Jeopardy  
Devil's Advocate  
20k Leagues Under the Sea  
13 Days  
Moby Dick

# First Principles to Phenomenology



# Navier-Stokes Fluid Dynamics

## Force Equation

$$\frac{\partial \mathbf{u}(\mathbf{x}, t)}{\partial t} + \mathbf{u}(\mathbf{x}, t) \cdot \nabla \mathbf{u}(\mathbf{x}, t) + \nabla p(\mathbf{x}, t) / \rho = -g \hat{\mathbf{y}} + \mathbf{F}$$

## Mass Conservation

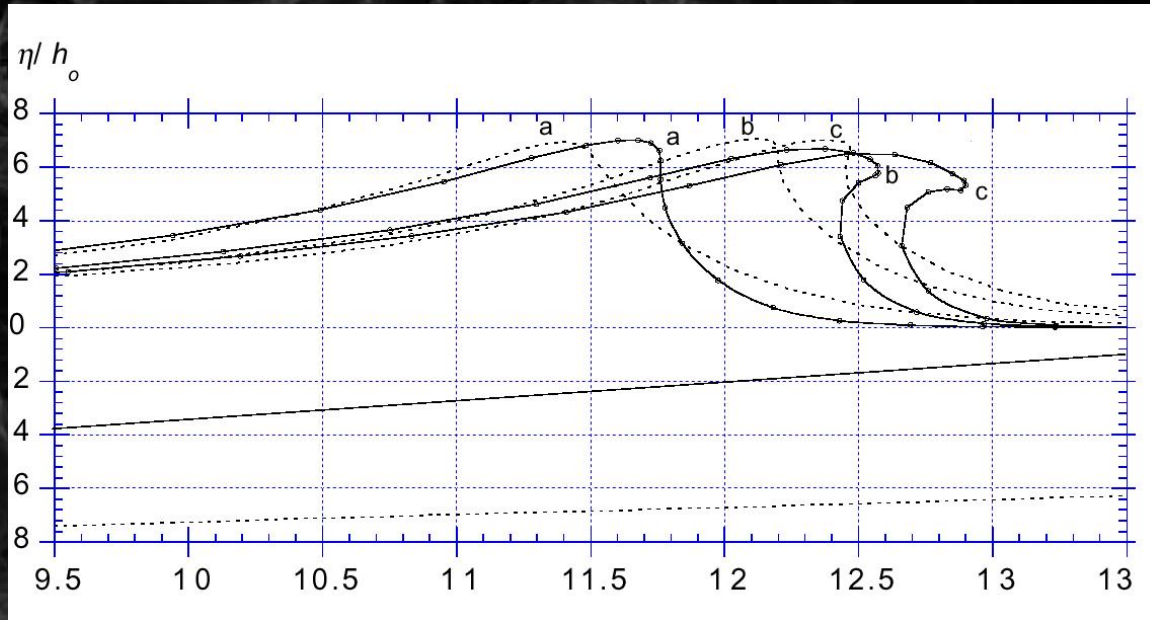
$$\nabla \cdot \mathbf{u}(\mathbf{x}, t) = 0$$

Solve for functions of space and time:  $\left\{ \begin{array}{l} \bullet 3 \text{ velocity components} \\ \bullet \text{ pressure } p \\ \bullet \text{ density } \rho \text{ distribution} \end{array} \right\}$

Boundary conditions are important constraints

Very hard - Many scientific careers built on this

# Potential Flow



Grilli, Guyenne, Dias (2000)

Special Substitution  $\mathbf{u} = \nabla\phi(\mathbf{x}, t)$

Transforms the Navier-Stokes equations into *Bernoulli's Equation*

$$\frac{\partial\phi(\mathbf{x}, t)}{\partial t} + \frac{1}{2} |\nabla\phi(\mathbf{x}, t)|^2 + \frac{p(\mathbf{x}, t)}{\rho} + g\mathbf{x} \cdot \hat{\mathbf{y}} = 0$$
$$\nabla^2\phi(\mathbf{x}, t) = 0$$

This problem is MUCH simpler computationally and mathematically.

# Potential Flow

Special Substitution  $\mathbf{u} = \nabla \phi(\mathbf{x}, t)$

Transforms the equations into

$$\frac{\partial \phi(\mathbf{x}, t)}{\partial t} + \frac{1}{2} |\nabla \phi(\mathbf{x}, t)|^2 + \frac{p(\mathbf{x}, t)}{\rho} + g\mathbf{x} \cdot \hat{\mathbf{y}} = 0$$

$$\nabla^2 \phi(\mathbf{x}, t) = 0$$

This problem is MUCH simpler computationally and mathematically.



## Simplifying the Problem

Road to practicality - ocean surface:

- Simplify equations for relatively mild conditions
- Fill in gaps with oceanography.

Original dynamical equation at 3D points becomes linear equation on surface

$$\frac{\partial \phi(x, z, t)}{\partial t} = -gh(x, z, t)$$

Convert mass conservation to a vertical derivative computation

$$\hat{\mathbf{y}} \cdot \nabla \phi(x, z, t) \sim \left( \sqrt{-\nabla_H^2} \right) \phi = \left( \sqrt{-\frac{\partial^2}{\partial x^2} - \frac{\partial^2}{\partial z^2}} \right) \phi$$

## Linearized Surface Waves

$$\frac{\partial h(x, z, t)}{\partial t} = \left( \sqrt{-\nabla_H^2} \right) \phi(x, z, t)$$

$$\frac{\partial \phi(x, z, t)}{\partial t} = -gh(x, z, t)$$

General solution easily computed in terms of Fourier Transforms

## Solution for Linearized Surface Waves

General solution in terms of Fourier Transform

$$h(x, z, t) = \int_{-\infty}^{\infty} dk_x dk_z \tilde{h}(\mathbf{k}, t) \exp \{i(k_x x + k_z z)\}$$

with the amplitude depending on the *dispersion relationship*

$$\omega_0(\mathbf{k}) = \sqrt{g |\mathbf{k}|}$$

$$\tilde{h}(\mathbf{k}, t) = \tilde{h}_0(\mathbf{k}) \exp \{-i\omega_0(\mathbf{k})t\} + \tilde{h}_0^*(-\mathbf{k}) \exp \{i\omega_0(\mathbf{k})t\}$$

The complex amplitude  $\tilde{h}_0(\mathbf{k})$  is arbitrary.

## Examples of FFT surfaces

- ripple
- rain
- wake
- more complicated wake

# Oceanography

- Think of the heights of the waves as a kind of random process
- Decades of detailed measurements support a statistical description of ocean waves.
- The wave height has a spectrum

$$\left\langle \left| \tilde{h}_0(\mathbf{k}) \right|^2 \right\rangle = P_0(\mathbf{k})$$

- Oceanographic models tie  $P_0$  to environmental parameters like wind velocity, temperature, salinity, etc.

## Models of Spectrum

- Wind speed  $V$
- Wind direction vector  $\hat{\mathbf{V}}$  (horizontal only)
- Wavelength of biggest waves  $L = V^2/g$   
( $g$ =gravitational constant)
- Wavelength of smallest waves  $\ell$  (user choice)

### Parameterized Model Ocean Spectrum

$$P_0(\mathbf{k}) = \left| \hat{\mathbf{k}} \cdot \hat{\mathbf{V}} \right|^A \frac{\exp(-1/k^2 L^2)}{k^B} \exp(-k^2 \ell^2)$$

Typically,  $A \approx 2$  and  $B \approx 4$ .

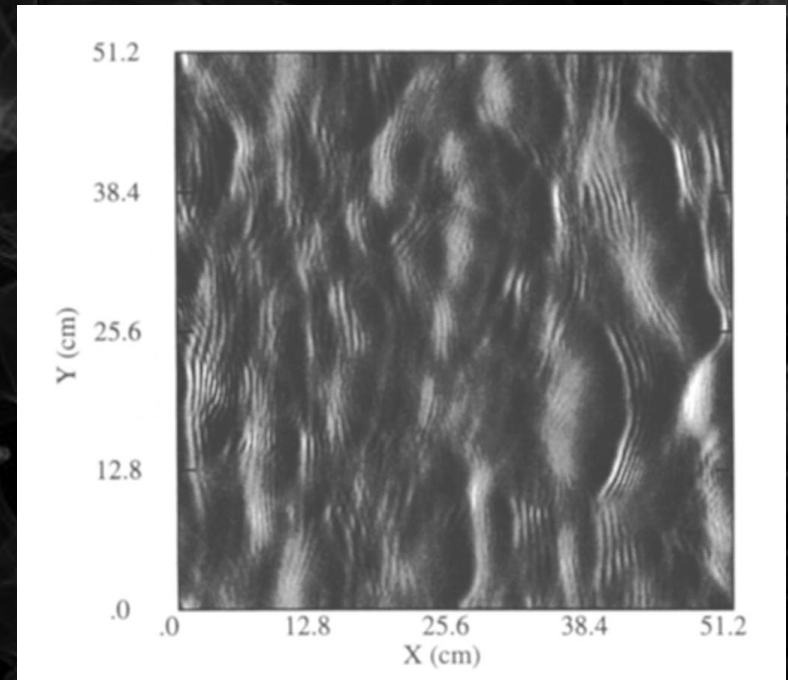
**High Resolution Rendering**  
**Sky reflection, upwelling light, sun glitter**  
**1 inch facets, 1 kilometer range**



# Hamiltonian Approach for Surface Waves

Miles, Milder, Henyey, ...

- If a crazy-looking surface operator like  $\sqrt{-\nabla^2_H}$  is ok, the exact problem can be recast as a *canonical problem* with momentum  $\phi$  and coordinate  $h$  in 2D.
- Milder has demonstrated numerically:
  - The onset of wave breaking
  - Accurate capillary wave interaction
- Henyey *et al.* introduced *Canonical Lie Transformations*:
  - Start with the solution of the linearized problem -  $(\phi_0, h_0)$
  - Introduce a continuous set of transformed fields -  $(\phi_q, h_q)$
  - The exact solution for surface waves is for  $q = 1$ .





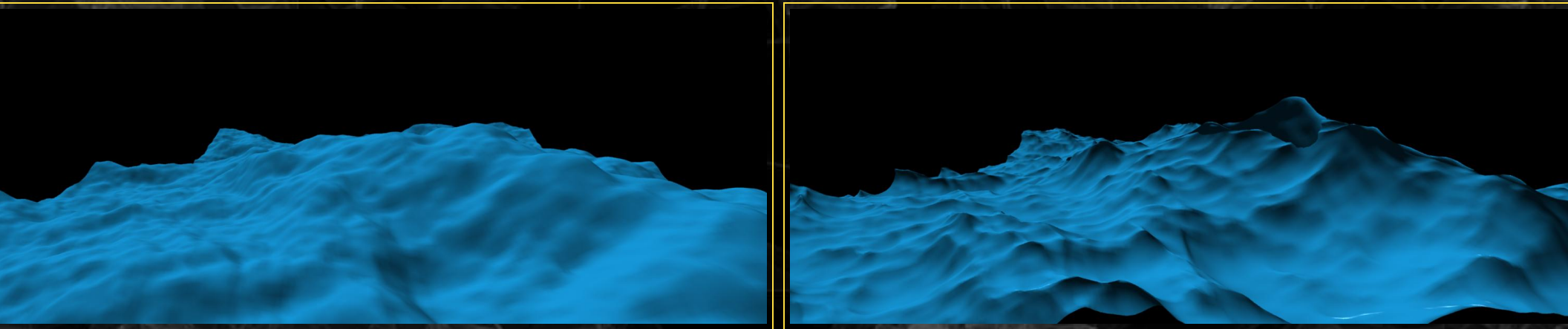
## Choppy, Near-Breaking Waves

Horizontal velocity becomes important for distorting wave.

Wave at  $\mathbf{x}$  morphs horizontally to the position  $\mathbf{x} + \mathbf{D}(\mathbf{x}, t)$

$$\mathbf{D}(\mathbf{x}, t) = -\lambda \int d^2k \frac{i\mathbf{k}}{|\mathbf{k}|} \tilde{h}(\mathbf{k}, t) \exp\{i(k_x x + k_z z)\}$$

The factor  $\lambda$  allows artistic control over the magnitude of the morph.



## Choppy Waves: Detecting Overlap

$$\mathbf{x} \rightarrow \mathbf{X}(\mathbf{x}, t) = \mathbf{x} + \mathbf{D}(\mathbf{x}, t)$$

is unique and invertible as long as the surface does not intersect itself.

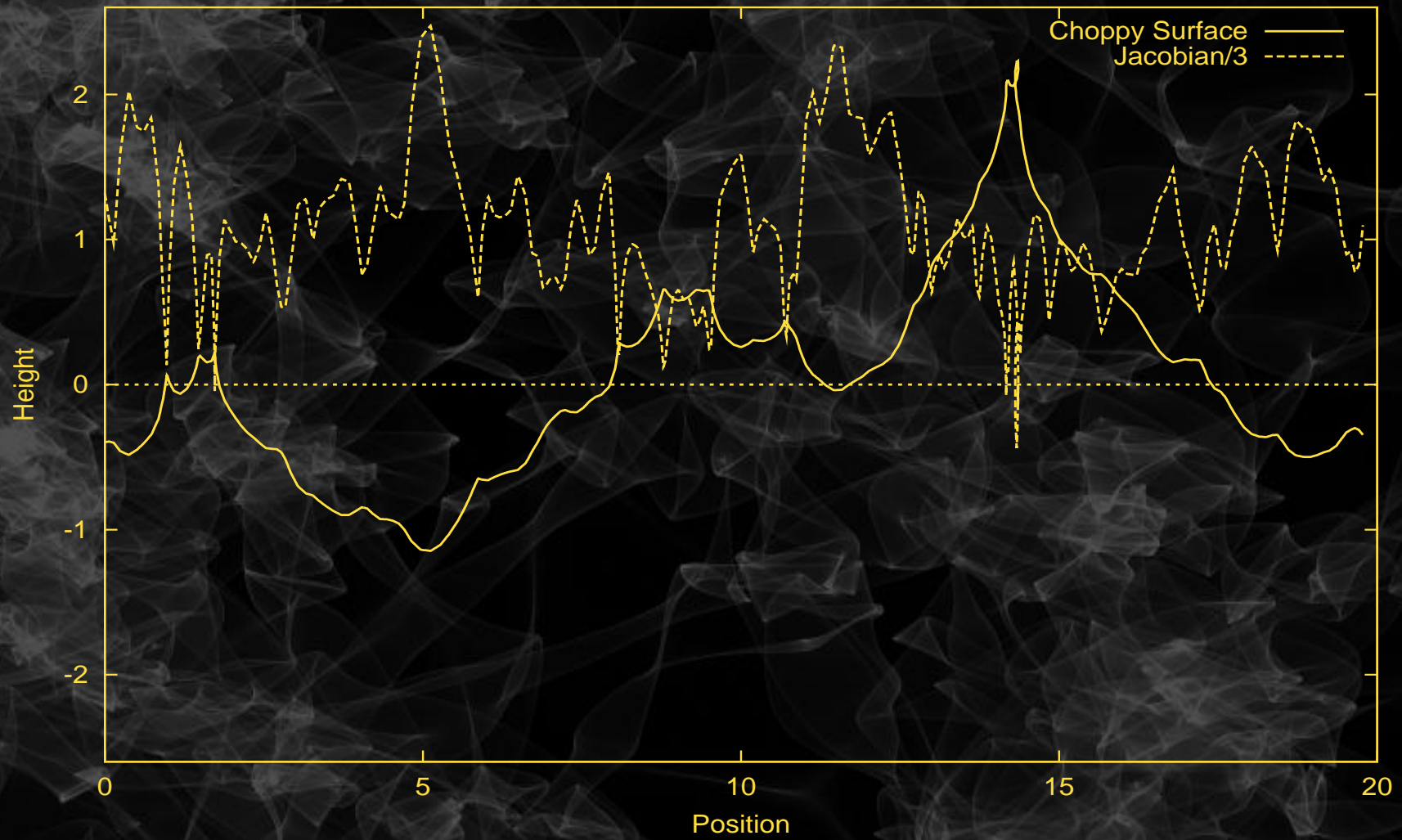
When the mapping intersects itself, it is not unique. The quantitative measure of this is the *Jacobian* matrix

$$J(\mathbf{x}, t) = \begin{bmatrix} \partial \mathbf{X}_x / \partial x & \partial \mathbf{X}_x / \partial z \\ \partial \mathbf{X}_z / \partial x & \partial \mathbf{X}_z / \partial z \end{bmatrix}$$

The signal that the surface intersects itself is

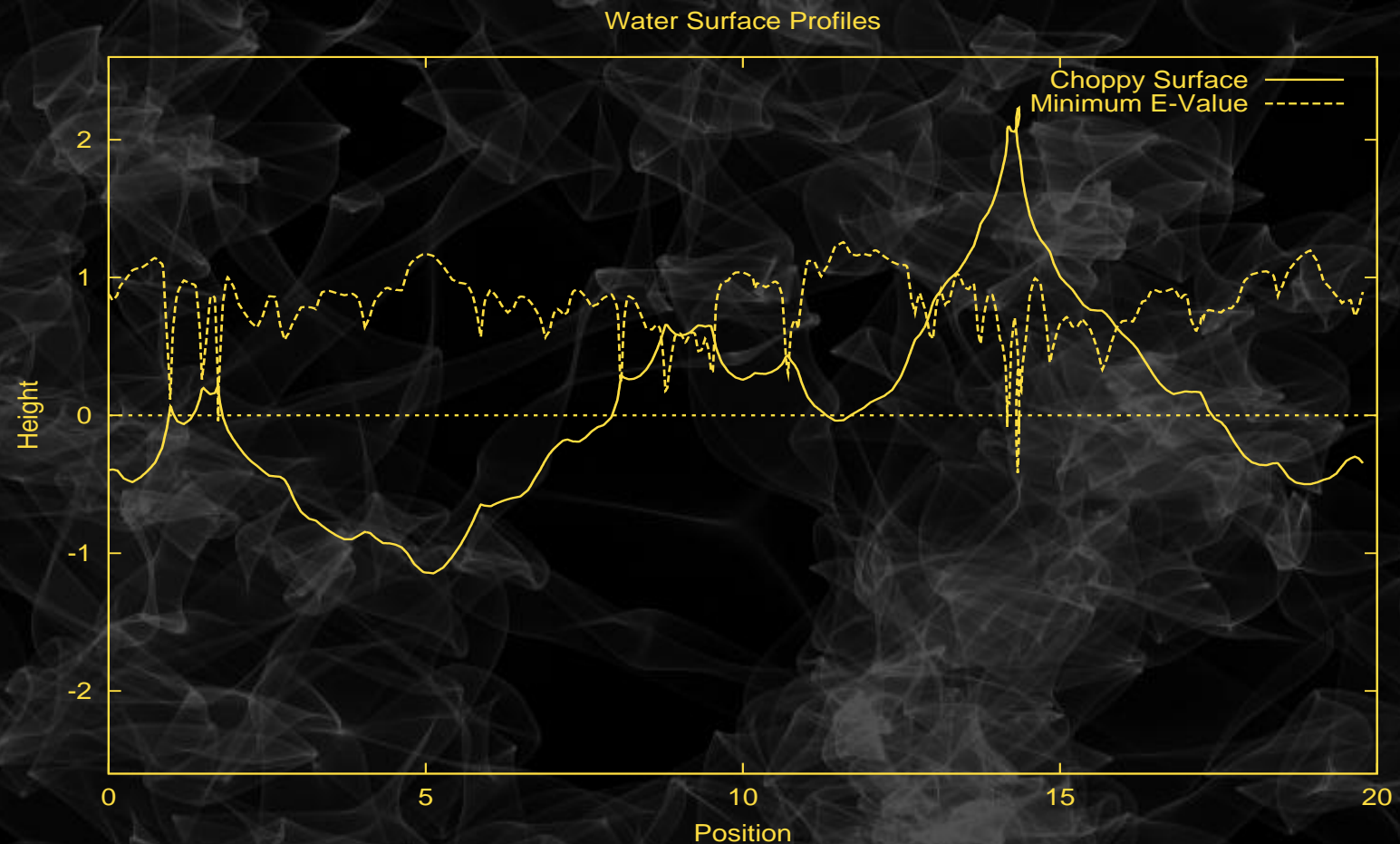
$$\det(J) \leq 0$$

# Water Surface Profiles



## Directional Character

- The  $2 \times 2$  Jacobian matrix describes folding in two directions.
- Eigenvalues and eigenvectors tell the amounts of folding and the fold directions.
- Minimum eigenvalue is the most folding, and minimum eigenvector is the direction of folding.



## Simple Spray Algorithm

- Pick a point on the surface at random
- Emit a spray particle if  $J_- < J_T$  threshold
- Particle initial direction ( $\hat{\mathbf{n}}$  = surface normal)

$$\hat{\mathbf{v}} = \frac{(J_T - J_-)\hat{\mathbf{e}}_- + \hat{\mathbf{n}}}{\sqrt{1 + (J_T - J_-)^2}}$$

- Particle initial speed from a half-gaussian distribution with mean proportional to  $J_T - J_-$ .
- Simple particle dynamics: gravity and wind drag

animation

## Summary

- FFT-based random ocean surfaces are fast to build, realistic, and flexible.
- Based on a mixture of theory and experimental phenomenology.
- Used alot in professional productions.
- Real-time capable for games
- Lots of room for more complex behaviors.
- Future: dynamic interactions

Latest/expanded version of course notes and slides:

<http://home1.gte.net/tssndrf/index.html>